

HOW TO COMPLETE THE APPLICATION FORM

Identity and address verification

Under Anti-Money Laundering and Countering Financing of Terrorism Act 2009 and under Clarity's own compliance requirements, verification of identity and residential address is required.

Each individual applicant/signatory will be electronically verified.

To enable this verification to occur, you will need to provide a current copy of your NZ passport or NZ driver's licence, AND you need to consent to the following;

- I consent to Clarity collecting, using and disclosing my personal information to verify any information that I have provided (or information that we may collect from other sources) with third parties and third party databases, including Government agencies (for example, NZ Transport Authority or Department of Internal Affairs) for the purposes of fraud prevention and complying with the Anti-Money Laundering and Countering Financing Terrorism Act 2009.
- I understand that if I disclose my personal information to Clarity, this information will be disclosed to Centrix Group Limited and APLY Limited. They may hold my information on their database and use it for providing credit reporting services and for any other lawful purpose and they may disclose my information to their subscribers.

If electronic verification is unsuccessful, paper based verification will be required. We will ask you to provide identity and address verification documentation that meets the following requirements:

1. The original sighted by a representative from Clarity or JMI, and a **photocopy** taken and appropriately verified; or
2. The documents must be a **certified copy**, as below:
 - All documents must be certified by either a **Lawyer, Justice of the Peace, Notary Public, NZ Chartered Accountant, registered medical doctor** or a **NZ Honorary Consul**;
 - Documents must include the full name, occupation and an original signature of the certifier as well as the date of certification;
 - The certifier must **not be related to the customer**, a person who lives at the same address or less than 16 years of age;
 - Certification must have been carried out in the **three months preceding presentation** of the documents;
 - The certifier must **sight the original identification document** and make a statement to the effect that the copy provided is '**a true copy of the original**'; and
 - Any identity document for an individual person (such as a passport) must include a statement saying that the document is '**a correct likeness of the named individual**'.

What ID is required for Individuals

- a) For paper-based verification, you will need to provide proof of your identity which means one of the following options AND proof of address:
 - ONE primary identification document listed in Option 1; or (where you cannot provide a primary identification document listed in Option 1);
 - ONE primary identification document and ONE secondary identification document listed in Option 2; or
 - ONE primary identification document and ONE secondary identification document listed in Option 3; and
- b) ONE address verification document listed in Option 4; and
- c) Bank deposit slip or bank statement in the name of the investor.

HOW TO COMPLETE THE APPLICATION FORM

Term	Primary Identity Verification	Secondary Identity Verification
Option 1	<ul style="list-style-type: none"> • New Zealand passport • Overseas passport • New Zealand firearms license • Certificate of Identity/ Refuge travel document* • National Identity card <p>* Issued by NZ Immigration Services or Department of Internal Affairs New Zealand</p>	<ul style="list-style-type: none"> • None
Option 2	<ul style="list-style-type: none"> • New Zealand Drivers Licence (Front and Back) 	<ul style="list-style-type: none"> • SuperGold card • Credit card (front and back) • Debit card (front and back) • Bank Statement • Government Agency Statement
Option 3	<ul style="list-style-type: none"> • New Zealand full birth certificate • Overseas full birth certificate • Certificate of New Zealand citizenship • Overseas citizenship certificate 	<ul style="list-style-type: none"> • New Zealand Drivers Licence • Overseas drivers licence (with photo) • 18+ card • Student ID, New Zealand Institution (under 18s only) • New Zealand Armed Forces ID • New Zealand Police ID • SuperGold card
Option 4	<ul style="list-style-type: none"> • Bank Statement • Government agency statement • Utility bill 	<ul style="list-style-type: none"> • Local Authority Rates Bill • Insurance Policy • Current Vehicle Registration

We may also need to ask you to provide further documentation or information to complete your application.

What ID is required for an Attorney or person investing for a minor?

Identification is required as specified in 'What ID is required for Individuals?' above.

- We also need a Certification of Non-revocation of a Power of Attorney; and
- A birth certificate or proof of guardianship; or
- A statutory declaration of the relationship between the minor and the person investing on their behalf to establish the relationship between the investor and the person investing on their behalf.

Who in the Entity needs to provide ID?

All people associated with the entity, as listed below, will need to be identified in line with Individual ID requirements:

- Owners that are companies, Funds or individual shareholders that own more than 25% of the entity
- Trustees
- Executors
- Settlers
- Directors
- Partners
- Officers
- Authorised signatories
- Guardians for minors
- Attorneys (appointed under a power of attorney)
- Beneficiaries who have received a distribution
- Anyone with the authority to act on behalf of the entity e.g. solicitor, administrator.

HOW TO COMPLETE THE APPLICATION FORM

What ID is required for the Entity?

The type of documentation needed varies, depending on the entity type:

Trusts and Estates

- Copy of the Trust Deed; **and**
- Copy of all amendments to the Trust Deed e.g. Deeds of Retirement of Trustees (where applicable); **or**
- Copy of probate; **and**
- Bank deposit slip or bank statement in the name of the Trust/Estate; **and**
- Identification for all people associated with the entity as specified in 'What ID is required for Individuals?' above.

For Funds, please also supply:

- Named beneficiaries (please supply all the details requested on the application form for any beneficiary named in the Trust Deed who has received a distribution).
- If the Trust is a discretionary or charitable Trust, the classes of beneficiaries or objectives of the Trust must be provided.

Companies / Partnerships / Incorporated or Unincorporated Societies

- Certificate of incorporation or registration numbers; **or**
- Deed of partnership/formation; **and**
- Bank deposit slip or bank statement in the name of the company, partnership or society; **and**
- Identification for all people associated with the entity as specified in 'What ID is required for Individuals?' above.

Return Form(s)

Please send this application form and your payment details to Clarity Funds Management Limited at info@clarityfunds.co.nz or you can mail your completed forms to:

Clarity Funds Management Limited

PO Box 33-1106

Takapuna, Auckland 0740

Processing will take a few days. The confirmation of investment will be provided within 5 Business Days of relevant valuation date. Please feel free to call us on 0800 99 00 55 or 09 308 1450 if you need any help completing the Application Form.

Daintree Funds Scheme Application Form

Individual /Joint Investor(s)

(Please tick appropriate box)

- This is an initial application
- This is a subsequent investment
(please insert your investor number in the boxes provided)

OFFICE USE ONLY

Date / /

CFM

INVESTOR NUMBER

Investor Details

Name

What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

Source of Funds/Wealth

Please tell us the original source of the funds/wealth you are investing with us.

- Property sale Gift/Inheritance Business activity
- Accumulated savings Personal income Other (describe below)

Please provide details including dates and amounts. For example, sale of family home at address for amount on date.

Note, we may need proof or additional information to support your application.

In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/owned enterprise.

- No Yes (if "yes", please specify below)

Contact Details

Primary Contact Person (for general enquiries)

Daytime Phone

Mobile Phone

Email Address

- If this person is authorised to give instructions, and is not an investor please complete page 22, Authorised Person section.

Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Phone Number	Email Address
<input type="text"/>	<input type="text"/>	<input type="text"/>

Physical Address

Country(ies) of citizenship/nationality, please specify below

<input type="text"/>	<input type="text"/>
----------------------	----------------------

IRD Number	Occupation
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/>

Portfolio Investor Rate (PIR):

0%
 10.5%
 17.5%
 28%

(tick one - if none selected 28% will apply)

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Reason A** The country where I am a tax resident does not issue a TIN to its residents
- Reason B** I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)
- Reason C** The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Individual Details

Title First Name Surname

Date of Birth Phone Number Email Address

Physical Address

Country(ies) of citizenship/nationality, please specify below

IRD Number Occupation

Portfolio Investor Rate (PIR): 0% 10.5% 17.5% 28%
(tick one - if none selected 28% will apply)

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No
I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Reason A** The country where I am a tax resident does not issue a TIN to its residents
- Reason B** I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)
- Reason C** The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Investment on Behalf of a Minor / Investment for an Individual by a Power of Attorney

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Phone Number	Email Address
<input type="text"/>	<input type="text"/>	<input type="text"/>

Physical Address

Country(ies) of citizenship/nationality, please specify below

<input type="text"/>	<input type="text"/>
----------------------	----------------------

Country(ies) of Tax Residency

I am a tax resident of New Zealand	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
I am a tax resident of other country(ies)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Reason A** The country where I am a tax resident does not issue a TIN to its residents
- Reason B** I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)
- Reason C** The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Authorised Person

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth	Phone Number	Email Address
<input type="text"/>	<input type="text"/>	<input type="text"/>
Relationship to Investor	Occupation	
<input type="text"/>	<input type="text"/>	

Physical Address

Country(ies) of citizenship/nationality, please specify below

<input type="text"/>	<input type="text"/>
----------------------	----------------------

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Reason A** The country where I am a tax resident does not issue a TIN to its residents
- Reason B** I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)
- Reason C** The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Investment Details

I wish to invest in the following Fund:

Daintree Core Income PIE

TOTAL

Investment Amount *

Distribution Instruction **

Reinvest

Direct Credit

* Initial investment minimum is \$10,000. Subsequent Investment minimum is \$1,000.

** If no option is selected, the default option is to reinvest the distribution.

Payment

Direct credit

(Please deposit direct credit payments in the following BNZ account: 02-0506-0116828-00 in the name of The New Zealand Guardian Trust Company Limited ASF Daintree Funds Scheme and reference with your Investor number)

Bank Account

This bank account will be used for redemptions.

Account Name

(The bank account must be in the Investor's / Entity name)

Bank

Branch

Account Number

BANK

BRANCH

ACCOUNT

SUFFIX

The Privacy Act

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. Your personal information may also be disclosed to, and held and used by third parties that provide services to Clarity.

The manager may also share your information with government agencies if requested. Clarity in turn will take reasonable steps to ensure the third party does not breach the Privacy Principles. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected. The Manager will provide you (on request) with the name and address of any entity to which your information has been disclosed.

We collect most personal information directly from you. Sometimes we may (and you authorise us to) collect information about you from publicly available sources of information. You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity Funds Management Limited to provide you with newsletters and other information about the Fund and other products and services.

Declaration

I/We have read and retained a copy of the current Product Disclosure Statement and agree to be bound by the terms and conditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time).

I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

I/We understand that the Fund is a vehicle for investment; the value of my/our investment is liable to fluctuations and may rise and fall from time to time.

I/We understand the manner in which the fees will be deducted from my/our investment.

I/we acknowledge that Clarity may be required to disclose information about me/us and my/our investments to third parties, if disclosure is required by law, including laws of other jurisdictions, for example to government and regulatory authorities.

I/We agree to indemnify Clarity against any loss it may suffer as a result of me/us providing incorrect or incomplete information.

I/We agree that Clarity will not be liable to me/us or any other third party for any refusal to process, or delay in, processing any transaction on my/our behalf or a suspension of my/our account by Clarity in accordance with the anti-money laundering and countering financing of terrorism laws.

I/We agree to advise Clarity immediately if my/our tax residency changes, or my/our Prescribed Investor Rate changes.

I/We agree to advise Clarity immediately if any individual associated with myself/us or any immediate family member holds a prominent public position, not previously held, in any overseas country.

For the purpose of verifying my identity, I consent to the personal information that I have provided being used with (and, where necessary, disclosed to) the following sources: (1) the NZTA for the purpose of checking the MOTO database; (2) the Department of Internal Affairs for the purpose of checking the Passport database; (3) Land Information New Zealand; (4) the Companies Office; (5) Centrix Group Limited and APLY Limited (and I authorise Centrix and APLY to use any information that they hold in their credit reporting bureau about me to compare the information that I have provided); and (6) the White Pages.

Signature of Applicant

Date

Signature of Applicant

Date

Signature of Applicant

Date

Signature of Applicant

Date

Signing Instruction

The signing instruction sets out how signatories can operate this account with respect to daily transactions and general instructions. Please select one of the following options:

- All signatories must sign together
- Any one signatory can sign
- At least ___ signatories must sign together
- Other (please provide details)

Please note that changes to the nominated bank account or portfolio closure will require all signatories to sign.

The signing instruction can be changed at any time by providing the Manager with notice in writing which is signed by all signatories.

Financial Adviser's Declaration (if applicable)

- I have met with the above individual(s) and have collected a copy of their current NZ passport or NZ driver's licence and confirm it represents the true likeness of named individual(s).

Financial Adviser's Name

Financial Adviser's Agency Number

Financial Adviser's Signature

Date

Daintree Funds Scheme Application Form

Company, Partnership, Other Incorporated and Unincorporated Entities

(Please tick appropriate box)

- This is an initial application
- This is a subsequent investment
(please insert your investor number in the boxes provided)

OFFICE USE ONLY

Date / /

CFM

INVESTOR NUMBER

Investor Type

- Company Partnership Other Unincorporated Entity or Body
- Superannuation Scheme Other Incorporated Entity or Body

Investor Details

Entity Name

Registered Address

Entity Type Company Private Company Public Company Government Body

Country of Registration

Is the entity registered? Yes No

If so, please provide registration number:

Is the entity operating as a charity? Yes No

Is the entity a vehicle for holding personal assets? Yes No

Does the company have any nominee directors or shareholders? Yes No

Does the company issue shares in bearer form? Yes No

What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

Investor Details continued

Source of Funds/Wealth

Please tell us the original source of the funds/wealth you are investing with us.

- Property sale
 Gift/Inheritance
 Business activity
 Accumulated savings
 Other (describe below)

Please provide details including dates and amounts. For example, business proceeds of amount from the sale of distribution on date.

Note, we may need proof or additional information to support your application.

In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/owned enterprise.

- No
 Yes (if "yes", please specify)

IRD Number

Portfolio Investor Rate (PIR):

- 0%
 10.5%
 17.5%
 28%
(tick one - if none selected 28% will apply)

Country(ies) of Tax Residency

The entity is a tax resident of New Zealand Yes No

The entity is a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where the entity is a tax resident does not issue a TIN to its residents

Reason B The entity has not been issued a TIN by my country of tax residence
(please include an explanation as to why a TIN was not issued to the entity in the table below)

Reason C The domestic law of the country where the entity is a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Investor Details continued

Entity Classification

For more information, please refer to the OECD website, the IRD or consult your tax adviser.

Q1. Is the entity a Financial Institution?

No, the entity is not a Financial Institution.

Yes, the entity is a Financial Institution

Please select which type of Financial Institution from the options below:

The entity is a Depository Institution

The entity is a Custodial Institution

The entity is a Specified Insurance Company

The entity is an Investment Entity

- Is the Investment Entity managed by another Financial Institution?

Yes

No

If the Financial Institution has a Global Intermediary Identification Number (GIIN) please provide it below.

If you do not have a GIIN please indicate the reason:

The entity is a Deemed Compliant Financial Institution

The entity is an Exempt Beneficial Owner

Other (please provide more detail):

Q2. Is the entity's primary business activity selling goods or services or is it a non-profit entity?

Select 'Yes' below if either:

- The entity earns or intends to earn 50% or more of its total income from trading activities; and 50% or more of the entity's assets produce or are held for producing trading income; **OR**
- The entity is a non-profit entity and exempt from income tax in its country/jurisdiction of residence.

Yes

No

Contact Details

Primary Contact Person (for general enquiries)

Daytime Phone

Mobile Phone

Email Address

If this person is authorised to give instructions, and is not an investor please complete page 44, Authorised Person section.

Individual Details

This section must be completed by all directors, shareholders, partners, officers or members and any other person authorised to give instructions and obtain account information.

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Authorised Person

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Investment Details

I wish to invest in the following Fund:

Daintree Core Income PIE

Investment Amount *
\$

Distribution Instruction **
 Reinvest Direct Credit

TOTAL \$

* Initial investment minimum is \$10,000. Subsequent Investment minimum is \$1,000.
** If no option is selected, the default option is to reinvest the distribution.

Payment

Direct credit

(Please deposit direct credit payments in the following BNZ account: 02-0506-0116828-00 in the name of The New Zealand Guardian Trust Company Limited ASF Daintree Funds Scheme and reference with your Investor number)

Bank Account

This bank account will be used for redemptions.

Account Name

Bank

(The bank account must be in the Investor's / Entity name)

Branch

Account Number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------

BANK

BRANCH

ACCOUNT

SUFFIX

The Privacy Act

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. Your personal information may also be disclosed to, and held and used by third parties that provide services to Clarity.

The manager may also share your information with government agencies if requested. Clarity in turn will take reasonable steps to ensure the third party does not breach the Privacy Principles. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected. The Manager will provide you (on request) with the name and address of any entity to which your information has been disclosed.

We collect most personal information directly from you. Sometimes we may (and you authorise us to) collect information about you from publicly available sources of information. You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity Funds Management Limited to provide you with newsletters and other information about the Fund(s) and other products and services.

Declaration

I/We have read and retained a copy of the current Product Disclosure Statement and agree to be bound by the terms and conditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time).

I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

I/We understand that the Fund is a vehicle for investment; the value of my/our investment is liable to fluctuations and may rise and fall from time to time.

I/We understand the manner in which the fees will be deducted from my/our investment.

I/we acknowledge that Clarity may be required to disclose information about me/us and my/our investments to third parties, if disclosure is required by law, including laws of other jurisdictions, for example to government and regulatory authorities.

I/We agree to indemnify Clarity against any loss it may suffer as a result of me/us providing incorrect or incomplete information.

I/We agree that Clarity will not be liable to me/us or any other third party for any refusal to process, or delay in, processing any transaction on my/our behalf or a suspension of my/our account by Clarity in accordance with the anti-money laundering and countering financing of terrorism laws.

I/We agree to advise Clarity immediately if my/our tax residency changes, or my/our Prescribed Investor Rate changes.

I/We agree to advise Clarity immediately if any individual associated with myself/us or any immediate family member holds a prominent public position, not previously held, in any overseas country.

For the purpose of verifying my identity, I consent to the personal information that I have provided being used with (and, where necessary, disclosed to) the following sources: (1) the NZTA for the purpose of checking the MOTO database; (2) the Department of Internal Affairs for the purpose of checking the Passport database; (3) Land Information New Zealand; (4) the Companies Office; (5) Centrix Group Limited and APLY Limited (and I authorise Centrix and APLY to use any information that they hold in their credit reporting bureau about me to compare the information that I have provided); and (6) the White Pages.

Signature of Director, Partner, Officer or Authorised Signatory

Date

Signature of Director, Partner, Officer or Authorised Signatory

Date

Signature of Director, Partner, Officer or Authorised Signatory

Date

Signature of Director, Partner, Officer or Authorised Signatory

Date

Signing Instruction

The signing instruction sets out how signatories can operate this account with respect to daily transactions and general instructions. Please select one of the following options:

- All signatories must sign together
- Any one signatory can sign
- At least ___ signatories must sign together
- Other (please provide details)

Please note that changes to the nominated bank account or portfolio closure will require all signatories to sign.

The signing instruction can be changed at any time by providing the Manager with notice in writing which is signed by all signatories.

Financial Adviser's Declaration (if applicable)

- I have met with the above individual(s) and have collected a copy of their current NZ passport or NZ driver's licence and confirm it represents the true likeness of named individual(s).

Financial Adviser's Name

Financial Adviser's Agency Number

Financial Adviser's Signature

Date

Daintree Funds Scheme Application Form

Trust or Estate Investors

(Please tick appropriate box)

- This is an initial application
- This is a subsequent investment
(please insert your investor number in the boxes provided)

OFFICE USE ONLY

Date / /

CFM

INVESTOR NUMBER

Investor Details

Entity Name

Entity Address

Entity Type: Family Trust Standard Trust Charitable Trust Estate

Jurisdiction of Establishment

Is the entity registered? Yes No

If so, please provide registration number:

IRD Number

Portfolio Investor Rate (PIR): 0% 10.5% 17.5% 28%

(tick one - if none selected 28% will apply)

Country(ies) of Tax Residency

The entity is a tax resident of New Zealand Yes No

The entity is a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where the entity is a tax resident does not issue a TIN to its residents

Reason B The entity has not been issued a TIN by my country of tax residence
(please include an explanation as to why a TIN was not issued to the entity in the table below)

Reason C The domestic law of the country where the entity is a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Investor Details continued

Entity Classification

For more information, please refer to the OECD website, the IRD or consult your tax adviser.

Q1. Is the entity a Financial Institution?

No, the entity is not a Financial Institution.

Yes, the entity is a Financial Institution

Please select which type of Financial Institution from the options below:

The entity is a Depository Institution

The entity is a Custodial Institution

The entity is a Specified Insurance Company

The entity is an Investment Entity

- Is the Investment Entity managed by another Financial Institution? Yes No

If the Financial Institution has a Global Intermediary Identification Number (GIIN) please provide it below.

If you do not have a GIIN please indicate the reason:

The entity is a Deemed Compliant Financial Institution

The entity is an Exempt Beneficial Owner

Other (please provide more detail):

Q2. Is the entity's primary business activity selling goods or services or is it a non-profit entity?

Select 'Yes' below if either:

- The entity earns or intends to earn 50% or more of its total income from trading activities; and 50% or more of the entity's assets produce or are held for producing trading income; **OR**
- The entity is a non-profit entity and exempt from income tax in its country/jurisdiction of residence.

Yes No

What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

Investor Details continued

Source of Funds/Wealth

Please tell us the original source of the funds/wealth you are investing with us.

- | | | |
|--|---|---|
| <input type="checkbox"/> Property sale | <input type="checkbox"/> Gift/Inheritance | <input type="checkbox"/> Business activity |
| <input type="checkbox"/> Accumulated savings | <input type="checkbox"/> Personal income | <input type="checkbox"/> Other (describe below) |

Please provide details including dates and amounts. For example, sale of family home at address for amount on date

You will need to provide proof of the source of funds/wealth. Note we may ask for additional information to support your application.

In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/owned enterprise.

- No Yes (if "yes", please specify)

Contact Details

Primary Contact Person (for general enquiries)

Daytime Phone

Mobile Phone

Email Address

- If this person is authorised to give instructions, and is not an investor please complete page 33 Authorised Person section.

Individual Details

This section must be completed by all trustees, executors, settlors or beneficiaries named in the Trust Deed.

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Authorised Person

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/>	<input type="text"/>

Physical Address

Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

Country(ies) of Tax Residency

I am a tax resident of New Zealand Yes No

I am a tax resident of other country(ies) Yes No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Reason A The country where I am a tax resident does not issue a TIN to its residents

Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Investment Details

I wish to invest in the following Fund:

Daintree Core Income PIE

Investment Amount *
\$

Distribution Instruction **
 Reinvest Direct Credit

TOTAL \$

* Initial investment minimum is \$10,000. Subsequent Investment minimum is \$1,000.

** If no option is selected, the default option is to reinvest the distribution.

Payment

Direct credit

(Please deposit direct credit payments in the following BNZ account: 02-0506-0116828-00 in the name of The New Zealand Guardian Trust Company Limited ASF Daintree Funds Scheme and reference with your Investor number)

Bank Account

This bank account will be used for redemptions.

Account Name

Bank

(The bank account must be in the Investor's / Entity name)

Branch

Account Number

BANK

BRANCH

ACCOUNT

SUFFIX

The Privacy Act

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. Your personal information may also be disclosed to, and held and used by third parties that provide services to Clarity.

The manager may also share your information with government agencies if requested. Clarity in turn will take reasonable steps to ensure the third party does not breach the Privacy Principles. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected. The Manager will provide you (on request) with the name and address of any entity to which your information has been disclosed.

We collect most personal information directly from you. Sometimes we may (and you authorise us to) collect information about you from publicly available sources of information. You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity Funds Management Limited to provide you with newsletters and other information about the Fund(s) and other products and services.

Declaration

I/We have read and retained a copy of the current Product Disclosure Statement and agree to be bound by the terms and conditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time).

I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

I/We understand that the Fund is a vehicle for investment; the value of my/our investment is liable to fluctuations and may rise and fall from time to time.

I/We understand the manner in which the fees will be deducted from my/our investment.

I/we acknowledge that Clarity may be required to disclose information about me/us and my/our investments to third parties, if disclosure is required by law, including laws of other jurisdictions, for example to government and regulatory authorities.

I/We agree to indemnify Clarity against any loss it may suffer as a result of me/us providing incorrect or incomplete information.

I/We agree that Clarity will not be liable to me/us or any other third party for any refusal to process, or delay in, processing any transaction on my/our behalf or a suspension of my/our account by Clarity in accordance with the anti-money laundering and countering financing of terrorism laws.

I/We agree to advise Clarity immediately if my/our tax residency changes, or my/our Prescribed Investor Rate changes.

I/We agree to advise Clarity immediately if any individual associated with myself/us or any immediate family member holds a prominent public position, not previously held, in any overseas country.

For the purpose of verifying my identity, I consent to the personal information that I have provided being used with (and, where necessary, disclosed to) the following sources: (1) the NZTA for the purpose of checking the MOTO database; (2) the Department of Internal Affairs for the purpose of checking the Passport database; (3) Land Information New Zealand; (4) the Companies Office; (5) Centrix Group Limited and APLY Limited (and I authorise Centrix and APLY to use any information that they hold in their credit reporting bureau about me to compare the information that I have provided); and (6) the White Pages.

Signature of Trustee or Executor or Authorised Signatory

Date

Signature of Trustee or Executor or Authorised Signatory

Date

Signature of Trustee or Executor or Authorised Signatory

Date

Signature of Trustee or Executor or Authorised Signatory

Date

Signing Instruction

The signing instruction sets out how signatories can operate this account with respect to daily transactions and general instructions. Please select one of the following options:

- All signatories must sign together
- Any one signatory can sign
- At least ___ signatories must sign together
- Other (please provide details)

Please note that changes to the nominated bank account or portfolio closure will require all signatories to sign.

The signing instruction can be changed at any time by providing the Manager with notice in writing which is signed by all signatories.

Financial Adviser's Declaration (if applicable)

- I have met with the above individual(s) and have collected a copy of their current NZ passport or NZ driver's licence and confirm it represents the true likeness of named individual(s).

Financial Adviser's Name

Financial Adviser's Agency Number

Financial Adviser's Signature

Date